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Assistant Commissioner for Patents,
Washington, D.C. 20231

on March 16, 2001

TOWNSEND and TOWNSEND and CREW LLP

By Joy M. Marshall

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Harada, et al.

Application No. 09/516,052

Filed: March 1, 2000

For: LEAFY COTYLEDON1 GENES AND
THEIR USES

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**POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; Eric J. Baude, Reg. No. P-47,413, Kathleen L. Choi, Reg. No. 43,433, M. Henry Heines, Reg. No. 28,219; Matthew E. Hinsch, Reg. No. P-47,651; Laurence J. Hyman, Reg. No. 35,551; Jeffrey S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; J. David Smith, Reg. No. 39,839, William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677;



William B. Kezer, Reg. No. 37,369, all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on _____ at reel/frame _____.

☒ is being concurrently submitted under separate cover to Attn: Box

Assignments for recording, a copy not for recordation is enclosed.

Please direct all telephone calls to Matthew E. Hinsch and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834

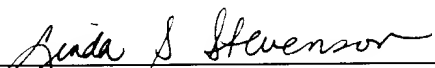
ASSIGNEE:

THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA

Signature:

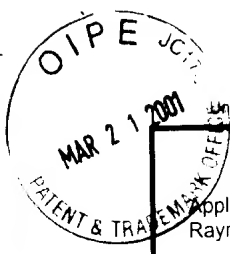
Typed Name:

Title:


Linda S. Stevenson
Manager, Patent Prosecution

Office of Technology Transfer
1111 Franklin Street, ~~12th Floor~~ Fifth Floor
Oakland, CA 94607-5200

Dated: 3-12-01



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: John Harada, Tamar Lotan, Masa-Aki Ohto, Robert B. Goldberg, Robert L. Fischer, Anhthu Bui, Raymond KwongApplication No./Patent No.: 09/516,052 Filed/Issue Date: March 1, 2000Entitled: LEAFY COTYLEDON1 GENES AND THEIR USESThe Regents of the University of California, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

2. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

3. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

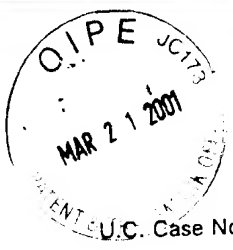
3-12-01
Date

Linda S. Stevenson
Signature

Linda S. Stevenson

Typed or printed name
Manager, Patent Prosecution

Title

ASSIGNMENT

U.C. Case No. 99-177-5

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. John Harada
2. Tamar Lotan
3. Masa-Aki Ohto
4. Robert B. Goldberg
5. Robert L. Fischer
6. Anhthu Bui
7. Raymond Kwong

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hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

LEAFY COTYLEDON1 GENES AND THEIR USES

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) ☒ U.S. application serial no. 09/516,052, filed on March 1, 2000
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

COPY

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

7 day of July, 2000

7 day of July, 2000

_____ day of _____, 20____

_____ day of _____, 20____

_____ day of _____, 20____

_____ day of _____, 20____

_____ day of _____, 20____

Signature of Inventor(s)

[Signature]

[Signature]

SF 150574 v1
SF 1107464 v1



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U.C. Case No. 99-177-5

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- | | |
|-----------------------------|------------------------------|
| 1. <u>John Harada</u> | 2. <u>Tamar Lotan</u> |
| 3. <u>Masa-Aki Ohto</u> | 4. <u>Robert B. Goldberg</u> |
| 5. <u>Robert L. Fischer</u> | 6. <u>Anhthu Bui</u> |
| 7. <u>Raymond Kwong</u> | |

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COPY

NOT FOR RECORDATION

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executed this

Signature of Inventor(s)

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

4th day of July, 2002

_____ day of _____, 20__

_____ day of _____, 20__

Mumukshu Chatterjee

SF 150574 v1
SF 1107464 v1

COPY

ASSIGNMENT

U.C. Case No. 99-177-5

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. John Harada2. Tamar Lotan3. Masa-Aki Ohto4. Robert B. Goldberg5. Robert L. Fischer6. Anhthu Bui7. Raymond Kwong

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COPY

NOT FOR REGISTRATION

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

22 day of June, 2002

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

Signature of Inventor(s)

Robert L. Furch

SF 150574 v1
SF 1107464 v1

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ASSIGNMENT

U.C. Case No. 99-177-5

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|-----------------------------|------------------------------|
| 1. <u>John Harada</u> | 2. <u>Tamar Lotan</u> |
| 3. <u>Masa-Aki Ohto</u> | 4. <u>Robert B. Goldberg</u> |
| 5. <u>Robert L. Fischer</u> | 6. <u>Anhthu Bui</u> |
| 7. <u>Raymond Kwong</u> | |

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executed this

25 day of June, 2000

25 day of June, 2000

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

Signature of Inventor(s)

John H. ...
[Signature]

SF 150574 v1
SF 1107464 v1

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U.C. Case No. 99-177-5

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executed this

Signature of Inventor(s)

_____ day of _____, 20__

_____ day of _____, 20__

6 day of June, 2000

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

_____ day of _____, 20__

1. later

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